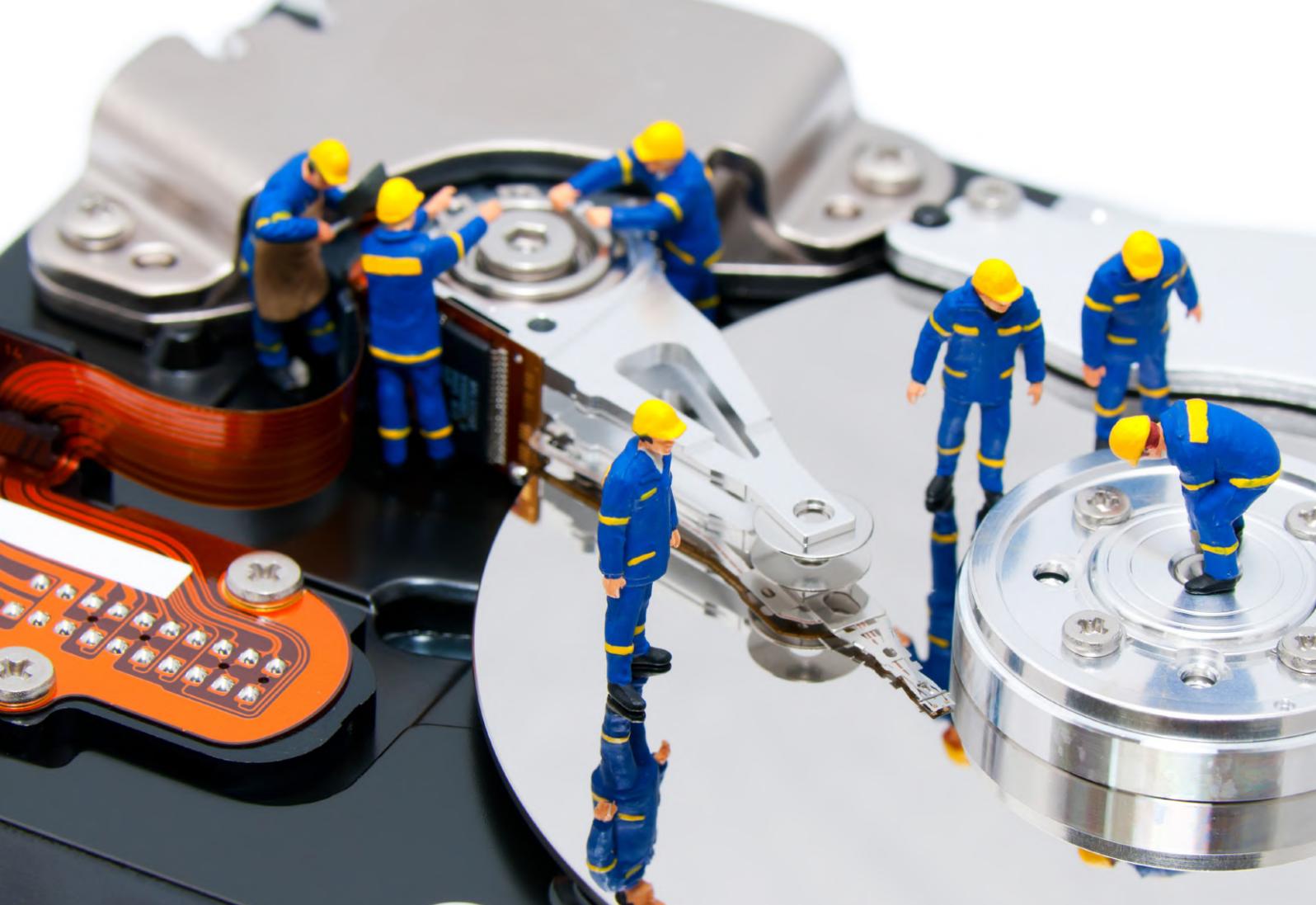




**DLP Assured**  
Inspire. Deliver. Excel

**AI Audit for Good Governance,  
Accountability &  
Data Protection Compliance  
Service Definition**

[www.dlp-assured.com](http://www.dlp-assured.com) | +44 (0)203 397 1091



## About Us

DLP is a specialist consultancy here to help you achieve your information security and privacy objectives.

We assist organisation's with projects involving DSP Toolkit, ISO 27001, Cyber Essentials, GDPR, PCI, Cyber Security, and Data Protection.

Over the years, DLP consultants have completed many successful projects for a wide range of clients including, government clients, NHS departments, pharmaceutical manufacturers, financial clients and numerous others.

With a deep understanding of InfoSec and data protection our consultants will work with you assessing your level of compliance, and then provide appropriate guidance and support to move the project forward.



# How we can help you

## Service Definition

Applications of Artificial Intelligence (AI) are increasing but there are numerous risks, particularly in the area of governance, accountability and data protection.

Our audit will review the current UK GDPR governance and accountability provisions relating to the AI application and how the risks are being assessed and managed.

The audit will also consider AI-specific risk areas namely:

- Fairness and transparency in profiling
- Accuracy
- Fully automated decision making
- Cyber security issues
- Data minimisation and purpose limitation
- The exercising of subject rights
- Impact on broader public interest

## Process

Our AI Audit assignments typically run on the following lines:

- Sign a confidentiality agreement (either clients or ours)
- Organise a meeting with the project sponsor so that we can develop an understanding of the client's organisation and the AI application project
- Agree the scope of work
- Identify which client staff will be nominated to participate in the review
- Determine staff availability and agree interview schedule
- Conduct interviews with relevant staff
- Write report including the findings and recommendations
- Hold debrief meeting with the client to discuss findings and recommendations



## Features & Benefits

### Features

- ✓ The AI project review is run by skilled infosec and data protection practitioners
- ✓ Independent risk assessment of AI risks
- ✓ Limited scope or detailed assessments available
- ✓ Report
- ✓ Debrief meeting

### Benefits

- ✓ Provides independent Gain assurance that the organisation is aware of the risks of deploying a particular AI application
- ✓ Help develop user trust in the use of AI
- ✓ Review aligned with UK regulation
- ✓ Help develop organisational readiness for AI incidents



# Case Studies



## Case 1

DLP consultants managed the information security function of a London based European Union (EU) agency for over 5 years.

The DLP team provided onsite support and guidance on a wide range of information security issues including attending inter-country EU meetings and providing input to discussions with various international agencies and NGO's.



## Case 3

Assisting a NHS department with several aspects of their IG Toolkit submission.

Project included the selection and evaluation of several security vendor products to meet client requirements.



## Case 2

Major bank reviewing their information security controls and their alignment with ISO 27001.

The project involved a review of documentation and related processes to determine gaps and potential areas for better alignment with the ISO 27001 standard.



## Case 4

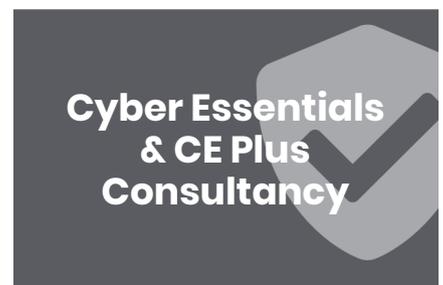
Healthcare diagnostic company requiring help and assistance to make their DSP Toolkit submission.

Project included the establishment of a governance programme aligned with the DSP standards utilising a Governance, Risk and Compliance system to automate many of the routine compliance tasks.



# Our Services

We provide a range of services:



## Contact Us



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